24 September 2015

Dr. Karan L. Watson
Provost and Executive Vice President
Texas A&M University
(U080)

LUI Che Woo Prize electronic nomination system:
Link: http://submit.luiprize.org
Nomination Code: VUUWYK

Dear Dr. Karan L. Watson,

LUI Che Woo Prize – Prize for World Civilisation

We, the Prize Council of LUI Che Woo Prize Limited, have the greatest honour to invite you to submit one or more nominations for the inaugural 2016 LUI Che Woo Prize – Prize for World Civilisation.

The LUI Che Woo Prize – Prize for World Civilisation aims to build a better world by recognising people or organisations who have made remarkable contributions to the welfare of mankind and encouraging them to continue their efforts in the same direction.

There will be three Prize categories (each, a "Prize Category") every year, and for 2016 the particular specific areas of focus for each Prize Category are as follows:

- **Prize Category 1**: Sustainable development of the world  
  *Specific area of focus*: World Food Supply; Safety and Security

- **Prize Category 2**: Betterment of the welfare of mankind  
  *Specific area of focus*: Treatment and/or control of epidemics, infectious diseases or chronic illnesses

- **Prize Category 3**: Promotion of positive life attitude and enhancement of positive energy  
  *Specific area of focus*: Individuals or organisations whose behaviour and achievement inspire, energise and give hope to others

You can submit one (1) nomination for each Prize Category and therefore you can submit a maximum of three (3) nominations (i.e. nominate one (1) nominee for each of the three Prize Categories). Whilst the intention is to focus on the specific areas of focus set out above, it is recognised that individuals and organisations with outstanding achievements fall within any of the Prize Categories but outside the specific areas of focus might still be considered.

You will find enclosed a nomination package containing the following documents from which you can obtain further information about the 2016 LUI Che Woo Prize - Prize for World Civilisation and how to prepare and submit your nomination(s):

- Nomination Booklet
- Rules of Procedure
- Nomination Form
- Guidelines for Nominations
- Privacy Policy
- Prize Video DVD
As indicated in the Guidelines for Nominations, you may submit your nomination(s) either by online submission or by post.

We look forward to receiving your nomination(s) **on or before 31 January 2016** which is the deadline for receipt of nominations. If you wish to know more about the LUI Che Woo Prize – Prize for World Civilisation, please visit our website at www.luiprize.org.

We sincerely hope that you will be so kind as to consider making one or more nominations of eligible individuals or organisations.

Thank you very much in advance for your time and efforts in assisting us in our quest for the most deserving awardees.

Yours sincerely

The Prize Council

LUI Che Woo

Chairman

The Hon. Tung Chee-hwa, GBM

Dr. Condoleezza Rice

Dr. James Wolfensohn

Dr. Rowan Douglas Williams
Guidelines for Nominations

General

- Nominations must include all of the information requested in the nomination form provided by LUI Che Woo Prize Limited (the ‘Prize Company’).

- Nominators may submit nomination(s) by either one of the following options:
  1. Submit nomination(s) by completing the nomination form(s) online via an electronic link specifically assigned to each nominator; or
  2. Submit nomination(s) by completing and returning the signed nomination form(s) to the Prize Company.

- The nomination form must be completed in English.

- For online submission, nominators shall complete and submit the nomination form online through the electronic link to be provided by the Prize Company.

- For submission by post, nominators may use the nomination form in the nomination package provided or may download nomination forms through the electronic link specifically assigned to each nominator provided by the Prize Company. Nomination form(s) must be printed, completed and signed by the nominator and return to “Secretariat of the LUI Che Woo Prize at 1801 K. Wah Centre, 19/F Java Road, North Point, Hong Kong” and received by the Prize Company on or before 31 January 2016.

- Nominators may provide a copy of the nominee’s CV to the Prize Company, but this is not compulsory. When the Prize Council makes decisions on the Prize awardees for the three Prize Categories, each of them will be contacted and requested to agree to the Prize Company’s terms for receiving a Prize and confirm that the conditions of the award will be complied with.

- Each nominator can submit one (1) nomination for each Prize Category and therefore each nominator can submit a maximum of three (3) nominations (i.e. nominate one (1) nominee for each of the three Prize Categories). Nominators who wish to submit multiple applications must complete a separate nomination form in respect of each nominee. A blank nomination form may be downloaded through the electronic link specifically assigned to each nominator provided by the Prize Company.
- The Prize Company will retain the nomination form and supporting materials for such period as the Prize Company deems necessary in accordance with its internal record keeping procedures.

- Any personal data contained in the materials, including the nominee’s name and address, will be only used for the purposes of the Prize and not for any other purposes.

**Part 1: The Nominator**

The nominator’s details must be included in this section.

In question 2, please include the name of the organisation or institution of which the nominator is an employee, director etc.

In question 7, please include details of how the nominator know the nominee, e.g. ‘research colleague’.

**Part 2: The Nominee**

**Personal details**

The nominee’s contact details must be included in this section.

In question 9, please insert the name of the organisation or institution of which the nominee is an employee, director etc.

In question 14, there is no requirement to enclose a CV, however it is open to nominators to do so if they believe it would support the nomination.

**Nomination Category**

Nominators must indicate the Prize Category for which they are proposing a nominee. The Prize Categories are as follows, and the specific areas of focus for the current Prize year are indicated below:

- **Prize Category 1**: Sustainable development of the world
  
  *Specific area of focus:* World Food Supply: Safety and Security

- **Prize Category 2**: Betterment of the welfare of mankind
  
  *Specific area of focus:* Treatment and/or control of epidemics, infectious diseases or chronic illnesses
Prize Category 3: Promotion of positive life attitude and enhancement of positive energy

Specific area of focus: Individuals or organisations whose behaviour and achievement inspire, energise and give hope to others

If a nominator considers that a nominee's achievements fall outside the specific areas of focus above but within any of the Prize Categories, the nominator may still make a nomination. Whilst the intention is to focus on the specific areas of focus above, it is recognised that outstanding achievements falling within any of the Prize Categories might still justify a Prize.

Reasons for nomination

Questions 16.a and 16.b are the key questions in the application.

Question 16.a. Please give a brief summary of why the nominee should receive a Prize.

Question 16.b. Please include a statement clearly setting out:

- the outstanding works, accomplishments or contributions of the nominee;
- the impact (both current and future) that the nominee has made in their particular field.

In question 17, please provide details of any supporting materials submitted with the application. A maximum of 5 supporting materials may be submitted. Supporting materials should be selected on the basis that they are relevant to the nomination. Submitting supporting materials is not a compulsory requirement. The Prize Company will accept papers (in word or PDF format), artwork (in word, PDF or JPG format) and a single CD/DVD with an application.

In question 18, please describe why the public would benefit from the nominee winning the Prize.

Nominee's charitable or voluntary contributions

Please include details of any charities or other philanthropic organisations with which the nominee is involved, whether as a volunteer, trustee, supporter or otherwise. Such contributions need not relate to the reasons why the nominee is being nominated and referred to in question 16.
Referees

Please include details of at least one and up to three referees who will be able to provide a reference for the nominee (one personal and two with particular expertise in the relevant field). **At least one professional reference must be included.**

Each referee must have confirmed to the nominator that they are content to act as a referee.

Part 4: Declaration

For online submission(s), please **tick the box provided in this section** of the nomination form.

For submission(s) by post, please **complete, sign and date** the nomination form where indicated.

A nomination will not be valid without this declaration.
Confidential

Rules of Procedure

The Prize

1. These Rules of Procedure govern the operation of the Prize and are effective as of 8 December 2014.

2. The Prize is administered by LUI Che Woo Prize Limited, a charitable company limited by guarantee incorporated in the Hong Kong Special Administrative Region of the People's Republic of China ('Hong Kong') and referred to in these Rules of Procedure as the 'Prize Company'. These Rules of Procedure may be amended by the Prize Company from time to time.

3. A maximum of three Prizes are awarded each year to recognise and honour outstanding achievements in the following three objectives of the Prize (each a 'Prize Category'):
   3.1 sustainable development of the world;
   3.2 betterment of the welfare of mankind; and
   3.3 promotion of positive life attitude and enhancement of positive energy.

   In addition, each year the Prize Company will identify a specific area of focus for each Prize Category which will be announced.

4. A Prize may be awarded to a nominee with outstanding achievements in a Prize Category falling within the Prize Category but outside the specific area of focus of the current Prize year.

5. One Prize will be awarded in each Prize Category, unless paragraph 23 applies.

6. Each Prize awardee will receive a cash award of Hong Kong Dollars Twenty Million (HK$20,000,000.00) (equivalent, at the date of publishing these Rules of Procedure, to approximately US Dollars Two Million Five Hundred and Sixty Four Thousand (USD$2,564,000.00)), a trophy and a certificate.

Eligibility of nominees

7. Those eligible to receive a Prize are:
   · individuals; and
   · organisations

   (each a 'nominee') that have been nominated by an approved nominating organisation or individual (a 'nominator') for a Prize in respect of one or more of the Prize Categories.
8. Nominees may reside or, in the case of an organisation, be established, in any part of the world.

9. A Prize will only be awarded to a single nominee; a Prize cannot be awarded to two or more nominees jointly.

10. Individuals must be living on the date of their nomination. However, if an individual dies after the announcement of his/her Prize but before he/she has received the Prize, then the Prize may be presented posthumously to his/her estate.

11. Directors and officers of the Prize Company, members of its Prize Council, Prize Recommendation Committee and Selection Panels are not eligible to receive a Prize.

Requirements for nominations

12. The Prize Company will identify and invite nominators to make a nomination (or nominations). Nominators may include: colleges, universities, academies, embassies, government departments, hospitals, professional organisations, public institutions, research institutions, non-government organisations, prominent scientists, researchers, scholars, writers, critics or any other suitable individuals (including persons who have been awarded the Prize previously), organisations around the world which are considered appropriate.

13. Directors of the Prize Company and members of the Prize Council are entitled to make nominations.

14. Only invited nominations will be accepted; uninvited nominations and self-nominations are ineligible.

15. Nominations of nominees to be considered for an award must include all of the information required by the nomination documents provided by the Prize Company, which may be amended from year to year.

16. A nomination is valid only if it is received by the Prize Company on or before the deadline as stated in the invitation letter to nominators or the website of the Prize.

17. Nominators must decide which Prize Category is most suitable, having regard to the Guidelines for Nominations.

18. There is no entry fee.
19. To the extent that any intellectual property is contained in a nomination application, the Prize Company may use such intellectual property for the purposes of assessing nominations and awarding Prizes.

Selection of Prize Awardees

20. Prizes will be evaluated with reference to the Guidelines for Nomination.

21. Prize awardees are selected after a three-stage review process.

21.1 One of the three Selection Panels will assess the nominations received in each Prize Category, with assistance from experts in the relevant fields as may be required. Each Selection Panel will recommend to the Prize Recommendation Committee a shortlist of not more than five (5) nominees in its Prize Category.

21.2 The Prize Recommendation Committee will then consider the nominations submitted to it by the Selection Panels and may seek additional input from the Selection Panels and experts in relevant fields who may have been engaged by the Selection Panels. It shall undertake any further verification it considers necessary in order to make a recommendation to the Prize Council of not more than three (3) nominees in each Prize Category.

21.3 The Prize Council will consider the Prize Recommendation Committee's recommendations and may seek additional input from the Prize Recommendation Committee and experts in relevant fields who may have been engaged by the Selection Panels. It shall undertake any further verification it considers necessary.

22. When the Prize Council makes decisions on the Prize awardees for the three Prize Categories, each of them will be contacted and requested to agree to the Prize Company's terms for receiving a Prize and confirm that the conditions of the award (in particular, paragraphs 28, 29 and 31 below) will be complied with.

23. The Prize Council may advise the Board of Governors of the Prize Company (the 'Board') that it cannot recommend a nominee in respect a Prize Category. In this case, no Prize will be awarded for that Prize Category. This will not affect the number of Prizes to be awarded in the following year.

24. The Prize Council may advise the Board that it cannot recommend a nominee in respect of a specific area of focus of a Prize Category, but can recommend an outstanding nominee(s) with achievements and contributions in the Prize Category generally.
25. The Board shall endorse the decision of the Prize Council.

26. Each nomination will be considered, and each Prize will be awarded, regardless of an individual's age, gender, race, sexual orientation, disability, nationality, religion or belief or, in the case of an organisation, its place of establishment.

27. No feedback on nominations will be given and a decision of the Prize Company or any committee shall be final.

Conditions of Award

28. It is a condition of receiving a Prize that:

28.1 Each Prize awardee shall attend the Prize presentation ceremony in person and deliver an address at that ceremony.

28.2 Each Prize awardee becomes an 'ambassador' of the Prize going forward and is encouraged to continue to promote the objectives of the Prize.

28.3 Each Prize awardee will deliver an educational program agreed with the Prize Company in furtherance of its charitable purposes, which may include giving lectures, seminars, workshops or sharing sessions on matters relevant to his/her/its specialist field, within twelve (12) calendar months after receipt of the Prize. The Prize Company will fund the cost of the educational program.

29. Prize awardees shall not use the Prize to promote any commercial product or service.

30. The Prize Company may invite awardees of the Prize to be members of the Prize Council, the Prize Recommendation Committee or a Selection Panel (as appropriate).

31. Each Prize awardee is responsible for his/her/its own country's reporting duties and related tax liabilities (if any) which may arise as a consequence of receiving the Prize.

32. These Rules of Procedure may be translated into different languages and, to the extent there is any inconsistency in interpretation, the English version shall prevail.

33. These Rules of Procedure shall be governed by and construed in accordance with the laws of Hong Kong.

Further information

34. Any questions which nominators may have should be directed to the Secretariat of the Prize, 1801, K. Wah Centre, 191 Java Road, North Point, Hong Kong or sec@lui.prize.org.